Comparison of the Effects on Stator Currents Between Continuous Model and Discrete Model of the Three-phase Induction Motor in the Presence of Electrical Parameter Variations

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*Abstract*—Three-phase induction motors are the most widely used electrical energy conversion machines due to their low cost, robustness, and ease of maintenance. Hence, the mathematical model analysis is fundamental to comprehend the behavior of the machine in order to implement a certain type of control. This article presents a comparison between the effects on the current, in steady-state, of electrical parameter variations of a three-phase induction motor model based on discrete-time with a continuous model by considering electrical parameters fixed in nominal values. Side by side comparisons between the application of pure sinusoidal waveforms and PWM waveforms generated by a voltage source inverter to the induction motor are presented. Simulation results are provided to show the parameter with the most significant impact over the machine when varying values.

*Index Terms*—Induction motors, magnetization inductance, predictive discretized model.

# I. INTRODUCTION

Historically, one of the most used drives in industrial applications have been the induction machines due to some specific characteristics such as low cost, robustness and ease of maintenance [1]. As a consequence, the interest in the use of induction motor (IM) drives has allowed the development of well-established control techniques as field oriented control, direct torque control and, more recently, model predictive control (MPC) [2]–[5]. However, to apply control techniques, it is important to have a finished model to describe the

properties of the physical system precisely in order to be controlled optimally [6]. In the design of the mathematical model of IMs, it is necessary to know the electrical and mechanical parameters. Some of these can be provided by the manufacturer or can be obtained through standardized tests [7], but in operation, these values, which are assumed as nominal in the equivalent circuit, vary according to different load conditions and effects such as temperature, magnetic saturation, skin effect, harmonics and rotor movement [1], [7], [8].

A precise knowledge of the parameter values is essential to determine a suitable model. When vector control or non-linear control techniques are used [9]–[11], such as the MPC, the control efficiency depends on the model to predict the states and to produce the desired performance [12]. For instance, in accurate speed estimation for the operation of speed-sensorless using machine model-based approaches [13]–[15]. In previous works, parameter identification processes are presented to obtain values focused on the magnetization inductance as in [1], [16]–[18] or focused on rotor and stator resistances as in [16], [19]. In other works, mathematical models are proposed considering magnetic saturation as in [20], [21].

Generally, parameters like stator and rotor resistance as well as magnetization inductance are considered constant in the model for simplicity without knowing how much this affects the performance of the electric drive. This paper aims to present a quantitative analysis of the impact on the currents of the electrical parameter variations in the discrete model concerning the continuous model with nominal values of the IM, in terms of the Mean Square Error (MSE). Variations are applied by taking into account several values in a range and comparisons of the effect between an operation of the IM with pure sinusoidal waveforms and voltage source inverter are presented.

The rest of this paper is organized as follows. The IM mathematical model in continuous time is presented in Section II. Then, in Section III, the model of the IM in discrete-time is described. In order to compare the effects of the variations, two types of power supply are exposed in Section IV. In Section V, simulation tests are described, and the results of the parameter variations is examined. Finally, conclusions are presented in the last section.

### II. IM MATHEMATICAL MODEL

The dynamic model of the three-phase IM can be expressed in the stationary reference frame  $\alpha$ - $\beta$  such as:

$$\mathbf{v}_{s} = R_{s} \, \mathbf{i}_{s} + \frac{d\boldsymbol{\lambda}_{s}}{dt}$$

$$0 = R_{r} \, \mathbf{i}_{r} + \frac{d\boldsymbol{\lambda}_{r}}{dt} - j\omega_{r} \, \boldsymbol{\lambda}_{r}$$

$$\boldsymbol{\lambda}_{s} = L_{s} \, \mathbf{i}_{s} + L_{m} \, \mathbf{i}_{r}$$

$$\boldsymbol{\lambda}_{r} = L_{m} \, \mathbf{i}_{s} + L_{r} \, \mathbf{i}_{r}$$
(1)

where  $R_s$  and  $R_r$  are the stator and rotor resistances, respectively,  $L_m$  is the magnetizing inductance,  $L_s$  and  $L_r$ are the stator and rotor inductances,  $\mathbf{v}_s$ ,  $\mathbf{i}_s$ ,  $\mathbf{i}_r$ , are the stator voltage vector, the stator current and the rotor current vectors,  $\lambda_s$  and  $\lambda_r$  are the stator and rotor flux vectors. All electrical variables mentioned in (1) are two-dimensional complex space vector where the real and imaginary part denotes the components in the  $\alpha$ - $\beta$  plane. A general block diagram is represented in Fig. 1.

The electromagnetic torque can be expressed by:

$$T_e = \frac{3}{2} p_p \frac{L_m}{L_r} \operatorname{Im} \left\{ \overline{\lambda}_r \, \mathbf{i}_s \right\}$$
(2)

where  $p_p$  is the number of pole pairs and  $\overline{\lambda}_r$  is the complex conjugate of the rotor flux vector. In order to describe the behavior of the mechanical speed  $\omega_m$  and the electric rotor speed  $\omega_r$  according to the electromagnetic torque  $T_e$  and load torque  $T_l$ , the mechanical equation is given by:

$$J \, \frac{d\omega_m}{dt} + B \, \omega_m = T_e - T_l \tag{3}$$

where J is the inertia of the mechanical shaft and B the friction coefficient. The mechanical speed in terms of electrical rotor speed is defined as:

$$\omega_m = \frac{\omega_r}{p_p}.\tag{4}$$

The continuous model (1)-(4) can be represented using the state-space representation as follows:

$$\dot{\mathbf{x}}(t) = \mathbf{A}\mathbf{x}(t) + \mathbf{B}\mathbf{u}(t)$$
  
$$\mathbf{y}(t) = \mathbf{C}\mathbf{x}(t)$$
 (5)



Fig. 1. Block diagram of the IM model.

where:  $\mathbf{x}(t) = [i_{s\alpha}, i_{s\beta}, \lambda_{r\alpha}, \lambda_{r\beta}]^T$  represent the state vector considering the stator current and the rotor flux variables,  $\mathbf{u}(t) = [v_{s\alpha}, v_{s\beta}]^T$  are the voltage input applied to the stator, and  $\mathbf{y}(t)$  denotes the output vector. The superscript  $(^T)$  is used to denote the transposed matrix and to define the dynamic of the electrical drive are used the matrices **A**, **B** and **C**. Then:

$$\mathbf{A} = \begin{bmatrix} c_1 & 0 & c_2 & c_3 \omega_r \\ 0 & c_1 & -c_3 \omega_r & c_2 \\ c_5 & 0 & c_6 & -\omega_r \\ 0 & c_5 & \omega_r & c_6 \end{bmatrix}$$
(6)

$$\mathbf{B} = \begin{bmatrix} c_4 & 0\\ 0 & c_4\\ 0 & 0\\ 0 & 0 \end{bmatrix}$$
(7)

where:

$$\begin{split} c_1 &= - \big( \frac{R_s}{\sigma L_s} + \frac{L_m^2}{\sigma L_s L_r \tau_r} \big) \quad c_2 = \frac{L_m}{\sigma L_s L_r \tau_r} \quad c_3 = \frac{L_m}{\sigma L_s L_r} \\ c_4 &= \frac{1}{\sigma L_s} \quad c_5 = \frac{L_m}{\tau_r} \quad c_6 = -\frac{1}{\tau_r} \\ \sigma &= 1 - \frac{L_m^2}{L_s L_r} \quad \tau_r = \frac{L_r}{R_r}. \end{split}$$

#### **III. DISCRETE-TIME IM MODEL**

The first order Euler approximation is applied in order to predict the state variables in the next sampling time and to keep computing cost low. The derived approximation is given by:

$$\dot{x} \simeq \frac{x(k+1) - x(k)}{T_s} \tag{9}$$

where x is the state variable,  $T_s$  is the sampling time and k represent the current sample.

Using (9) and (5), can be rewritten as:

$$x(k+1) = (I + AT_s)x(k) + BT_su(k)$$
(10)

where  $x(k) = [i_{s\alpha}(k) \ i_{s\beta}(k) \ \lambda_{r\alpha}(k) \ \lambda_{r\beta}(k)]^T$ , *I* is the identity matrix and  $u(k) = [v_{s\alpha}(k) \ v_{s\beta}(k)]^T$ .

# IV. TYPES OF THREE-PHASE INDUCTION MOTOR POWER SUPPLIES

In this section, two types of three-phase IM power supplies are described. These are considered in the analysis of impact of parameter variations.

## A. Pure Sinusoidal Waveforms

This method consists of the direct connection of the stator windings to three-phase voltages sinusoidal, which have a 120 degree phase shift between each other, with the amplitude and frequency adjusted to the IM nominal voltage values.

### B. Three-phase voltage source inverter

One of the most used configurations of power electronic converters consists of an uncontrolled rectifier connected to a voltage source inverter (VSI) through a DC-Link. Fig. 2 shows the three-phase inverter which has three legs, each consisting of two electronic switches. The output of the VSI corresponds to each midpoint between these switches. A three-phase VSI with pulse width modulation (PWM) allows the control of the three-phase output voltages in amplitude and frequency through three sinusoidal reference voltages of controlled amplitude. In the PWM technique, each reference voltage is compared to a triangular waveform, the comparator's output defines the switching states used to drive the inverter switches.

The triangular waveform has a switching frequency that sets the frequency at which the switches will operate. On the other hand, the sinusoidal reference signal has the desired fundamental frequency of the inverter voltage output.

The output voltages of the inverter  $v_{zN}$  with respect to the negative bus of the DC-link N, are defined by the switching states  $S_z$  in each leg as follow:

$$v_{zN} = V_{dc} S_z \tag{11}$$

where the phases are represented by z = [a, b, c].

Considering balanced operating conditions, the relationship between the phase voltages of the VSI output  $v_{zN}$  with the phase voltages  $v_{zn}$  and the negative DC-link bus voltages  $v_{nN}$ is expressed by:

$$v_{zn} = v_{zN} - v_{nN}$$
  
=  $V_{dc}S_z - \frac{1}{3}(v_{aN} + v_{bN} + v_{cN})$  (12)



Fig. 2. VSI scheme connected to a 3-phase IM.

TABLE I MECHANICAL AND ELECTRICAL PARAMETERS OF IM

| Parameter  | Value           | Parameter           | Value                         |
|------------|-----------------|---------------------|-------------------------------|
| $R_s$      | 0.7384 Ω        | $p_p$               | 2                             |
| $R_r$      | 0.7402 $\Omega$ | B                   | 0.000503 kg.m <sup>2</sup> /s |
| $L_{ls}^*$ | 3.045 mH        | J                   | $0.0343 \text{ kg.m}^2$       |
| $L_{lr}^*$ | 3.045 mH        | Nominal speed       | 1440 rpm                      |
| $L_s$      | 127.1 mH        | Nominal power       | 7.5 kW                        |
| $L_r$      | 127.1 mH        | Voltage (line-line) | 400 V                         |
| $L_m$      | 124.1 mH        | Nominal frequency   | 50 Hz                         |

\*Are the leakage inductances.  $L_s = L_{ls} + L_m$  and  $L_r = L_{lr} + L_m$ 

where  $v_{zn}$  are the voltages on IM stator windings with star connection,  $v_{sa}$ ,  $v_{sb}$  and  $v_{sc}$ .

The output voltages provided by the VSI are not purely sinusoidal, since it provides discrete output voltages which contain components of higher frequencies multiples of the fundamental frequency [22], [23].

### V. ANALYSIS METHOD AND SIMULATIONS

The simulations of the three-phase IM in open loop, for both mentioned models, are implemented using MATLAB/Simulink software. Table I presents the mechanical and electrical parameters of the IM under study. In all cases, constant load conditions of 25% of the nominal value is applied. Sampling time of 10  $\mu s$  is considered.

Initially, a balanced set of three-phase, fundamental harmonic voltages, adjusted at the nominal voltage of the machine, are applied to the IM continuous model and the output currents in steady-state are obtained, disregarding the magnetic saturation effect, and by considering  $L_m$ ,  $R_s$ ,  $R_r$ ,  $L_{ls}$ , and  $L_{lr}$ , constant in their nominal values.

The same power supply is applied to the discrete model and a single parameter is varied, measured and compared at once. The variation of the electrical parameters values are realized taking 13 points in a range of  $\pm 30\%$  of their nominal values. Obtained results for the stator currents  $i_{s\alpha}$  in steady-state applied to the IM discrete model, are shown in Fig. 3 and Fig. 4.



Fig. 3. Results of the current  $i_{s\alpha}$  in steady-state, varying  $L_m$  parameter value and by applying three-phase sinusoidal waveforms at the IM discrete model.



Fig. 4. Results of the current  $i_{s\alpha}$  in steady-state, varying the parameter values: (a)  $R_s$ ; (b)  $R_r$ ; (c)  $L_{ls}$ ; (d)  $L_{lr}$ , and by applying three-phase sinusoidal waveforms at the IM discrete model.



Fig. 5. MSE by considering the parameters variations in an IM model: (a) applying pure sinusoidal waveforms; (b) applying three-phase VSI.

The quantitative indicator, used to represent differences between the discrete model and the continuous model, is obtained by analyzing the results of the currents in steady-state of both models and by calculating the MSE between the stator currents obtained in continuous model  $i_s^*(k)$  with electrical parameters fixed at the nominal values and the stator currents obtained in discrete model  $i_s(k)$  considering the range of parameter variations above mentioned. MSE is given by:

MSE = 
$$\sqrt{\frac{1}{n} \sum_{k=m+1}^{m+n} (i_s^*(k) - i_s(k))^2}$$
 (13)

where n is the total number of samples taken in an interval, and m is the number taken as a starting point in steady-state. Both are represented by integer numbers.

By calculating the MSE for the stator currents  $i_{s\alpha}$  and comparing, taking into account 13 variation points of each parameter, the results can be seen in Fig. 5(a). The curve that corresponds to the variation of the magnetization inductance represents the variable with the most significant impact on the MSE of the stator currents between the discrete model and continuous model, being  $L_m$  present in practically all the equations of the three-phase IM mathematical model. Table II

TABLE II Steady State Analysis of MSE of the stator currents in  $\alpha$ - $\beta$  plane at different values of the electrical parameters by applying three pure sinusoidal voltage

| %   | $L_m$ [H] | MSE [A] | $R_s \ [\Omega]$ | MSE [A] | $R_r [\Omega]$ | MSE [A] | $L_{ls}$ [H] | MSE [A] | $L_{lr}$ [H] | MSE [A] |
|-----|-----------|---------|------------------|---------|----------------|---------|--------------|---------|--------------|---------|
| 70  | 0.0869    | 2.3600  | 0.5169           | 0.0470  | 0.5181         | 0.3075  | 0.0021       | 0.0355  | 0.0021       | 0.0277  |
| 75  | 0.0931    | 1.8398  | 0.5538           | 0.0397  | 0.5552         | 0.2103  | 0.0023       | 0.0291  | 0.0023       | 0.0228  |
| 80  | 0.0993    | 1.3826  | 0.5907           | 0.0323  | 0.5922         | 0.1428  | 0.0024       | 0.0233  | 0.0024       | 0.0184  |
| 85  | 0.1055    | 0.9777  | 0.6276           | 0.0250  | 0.6292         | 0.0938  | 0.0026       | 0.0176  | 0.0026       | 0.0140  |
| 90  | 0.1117    | 0.6166  | 0.6646           | 0.0176  | 0.6662         | 0.0562  | 0.0027       | 0.0116  | 0.0027       | 0.0094  |
| 95  | 0.1179    | 0.2925  | 0.7015           | 0.0094  | 0.7032         | 0.0258  | 0.0029       | 0.0057  | 0.0029       | 0.0046  |
| 100 | 0.1241    | 0.0000  | 0.7384           | 0.0000  | 0.7402         | 0.0000  | 0.0030       | 0.0000  | 0.0030       | 0.0000  |
| 105 | 0.1303    | 0.2653  | 0.7753           | 0.0112  | 0.7772         | 0.0226  | 0.0032       | 0.0053  | 0.0032       | 0.0043  |
| 110 | 0.1365    | 0.5069  | 0.8122           | 0.0243  | 0.8142         | 0.0428  | 0.0033       | 0.0102  | 0.0033       | 0.0080  |
| 115 | 0.1427    | 0.7281  | 0.8492           | 0.0384  | 0.8512         | 0.0610  | 0.0035       | 0.0146  | 0.0035       | 0.0110  |
| 120 | 0.1489    | 0.9311  | 0.8861           | 0.0532  | 0.8882         | 0.0777  | 0.0037       | 0.0187  | 0.0037       | 0.0135  |
| 125 | 0.1551    | 1.1182  | 0.9230           | 0.0693  | 0.9253         | 0.0930  | 0.0038       | 0.0224  | 0.0038       | 0.0155  |
| 130 | 0.1631    | 1.2913  | 0.9599           | 0.0890  | 0.9623         | 0.1072  | 0.0040       | 0.0260  | 0.0040       | 0.0171  |

TABLE III

Comparative of MSE of the stator currents in  $\alpha$ - $\beta$  plane by applying three pure sinusoidal voltage respect to MSE using three-phase VSI on the IM at different values of the  $L_m$  and  $R_r$ 

| %   | $L_m$ [H] | MSE [A] | VSI-MSE [A] | $R_r [\Omega]$ | MSE [A] | VSI-MSE [A] |
|-----|-----------|---------|-------------|----------------|---------|-------------|
| 70  | 0.0869    | 2.3600  | 2.3578      | 0.5181         | 0.3075  | 0.2915      |
| 75  | 0.0931    | 1.8398  | 1.8381      | 0.5552         | 0.2103  | 0.2070      |
| 80  | 0.0993    | 1.3826  | 1.3814      | 0.5922         | 0.1428  | 0.1436      |
| 85  | 0.1055    | 0.9777  | 0.9768      | 0.6292         | 0.0938  | 0.0950      |
| 90  | 0.1117    | 0.6166  | 0.6160      | 0.6662         | 0.0562  | 0.0570      |
| 95  | 0.1179    | 0.2925  | 0.2922      | 0.7032         | 0.0258  | 0.0261      |
| 100 | 0.1241    | 0.0000  | 0.0000      | 0.7402         | 0.0000  | 0.0000      |
| 105 | 0.1303    | 0.2653  | 0.2650      | 0.7772         | 0.0226  | 0.0228      |
| 110 | 0.1365    | 0.5069  | 0.5065      | 0.8142         | 0.0428  | 0.0431      |
| 115 | 0.1427    | 0.7281  | 0.7274      | 0.8512         | 0.0610  | 0.0615      |
| 120 | 0.1489    | 0.9311  | 0.9303      | 0.8882         | 0.0777  | 0.0784      |
| 125 | 0.1551    | 1.1182  | 1.1172      | 0.9253         | 0.0930  | 0.0939      |
| 130 | 0.1631    | 1.2913  | 1.2901      | 0.9623         | 0.1072  | 0.1083      |

exposes the values of the MSE for each parameter variation under study. As can be seen,  $L_m$  reaches the highest MSE in magnetic saturation condition (low  $L_m$ ). The next parameter that has a significant effect is  $R_r$  which shows a higher effect on stator currents MSE at low values. Still the MSE effect is approximately of 13% of  $L_m$  effect. The other parameters show an impact of approximately between 1% to 2% of  $L_m$ effect, being considered insignificant compared to  $L_m$  and  $R_r$ variations.

The same procedures described for evaluating the effect of parameter variations by applying three-phase pure sinusoidal voltage are performed using the three-phase VSI with carrier-based sinusoidal PWM, on both models. In Fig. 5(b), it can be noticed the magnetization inductance continues to have a greater impact than the other parameters on the stator current error. However, the impact of the rotor resistance represents approximately 12.3%, stator resistance 3.5% and the leakage

inductances about 8.8%, at low values, with respect to the  $L_m$  effect.

Table III shows the comparison between the two types of IM power supplies in terms of the MSE of the stator current in steady-state and the variations of the  $L_m$  and  $R_r$  values.

## VI. CONCLUSION

In this paper, a discrete model of an IM with electrical parameter variations is compared with a continuous model where the electrical parameters are fixed in nominal values. In order to determine the effects on the stator current in a steady-state, the variations of the parameters are made in a range of 70% to 130% of nominal values and the errors are represented in terms of the MSE. The results of the analysis of the MSE of stator currents, in steady-state, with the variations of the parameters such as magnetization inductance, stator resistance, rotor resistance, leakage inductance of the stator and rotor, show that variations of the leakage inductances and

stator resistance have an insignificant impact on the current. However, the variation of the rotor resistance is more notorious and the magnetization inductance has a the greatest impact in the IM powered by three-phase sinusoidal sources and with a three-phase VSI. Taking these conclusions into account, an analysis of the effects of variations of the electrical parameters on a closed-loop system by implementing a model-based control will be presented in future work.

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